

## 1. Corporate Information

- a) Complete name of Investment Adviser as registered with SEBI; NAVEEN REGO CAPITAL PRIVATE LIMITED
- b) Trade Name: NAVEEN REGO CAPITAL PRIVATE LIMITED
- c) BSE Enlistment No.: 2178
- d) CIN of the IA -U65999KA2004PTC034441
- e) Type of Registration- Non-Individual,
- f) Registration number, validity of registration- INA000019211 The certificate shall be valid from 20/06/2024 till suspended or cancelled.
- g) Complete address with telephone numbers, 1-17-1198/10 1<sup>ST</sup> FLOOR, N J ARCADE, LADYHILL DAKSHINA KANNADA, MANGALORE 575006. Contact No. 9845557582
- h) Contact details of the Principal Officer – NAVEEN JULIAN REGO contact no 9845557582 Email ID – [naveen@naveenreggo.com](mailto:naveen@naveenreggo.com)
- i) Contact details of the Compliance Officer & Grievance Officer - MANJIRI JOSHI Contact No. 9686694288, Email ID [manjiri@naveenreggo.com](mailto:manjiri@naveenreggo.com)
- j) Corresponding SEBI regional/local office address.

Regional Office Address: - The Regional Manager, Overseas Towers, 7th Floor, 756-L, Anna Salai, Chennai: 600002. Tel: +91-44- 28880222 / 28526686 Fax: +91-44 -28880333 E-mail: [sebisro@sebi.gov.in](mailto:sebisro@sebi.gov.in)

Local Office Address: - Bengaluru Local Office Fifth Floor, Stock Exchange Building, No.51, 1st Cross, J C Road, Bengaluru - 560 027 Tel: +91-080-22222262/ 22222264 E-mail: [bangalore-lo@sebi.gov.in](mailto:bangalore-lo@sebi.gov.in)

- k) Standard warning - “Investment in securities market is subject to market risks. Read all the related documents carefully before investing.”
- l) Disclaimer - “Registration granted by SEBI, membership of BSE and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors”